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Attorney Docket No.: 436/8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:
Atam P. Dhawan

Application No.: 10/822,219

Group Art Unit: 2131

Filing Date: April 9, 2004

Examiner: Not Yet Assigned

For: Methods And Apparatus For Multi-Level
Dynamic Security System

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant respectfully submits this Information Disclosure Statement, identification of the information, and copies thereof if required pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 in order to comply with the duty of disclosure. The enclosed Form PTO SB/08A/B identifies the references of which Applicant is aware. The Examiner is respectfully requested to consider the information herein and make it of record in the subject application.

By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(b) within three months of the filing date of the application or before the application has received a substantive Office Action. Accordingly, no fee is necessary; however, should the Patent Office determine that a fee is due, the Commissioner is authorized to charge any such fee to Deposit Account No. 11-0223.

By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(c) before the mailing date of a Final Action, a Notice of Allowance, or close of prosecution. Accordingly included herewith is:

- a check to cover the fee under 37 C.F.R. § 1.17(p), the Commissioner is authorized to charge any further fee to Deposit Account No. 11-0223; **or**
- a statement below under 37 C.F.R. § 1.97(e).

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- a statement below under 37 C.F.R. § 1.97(e).

Statement Pursuant to 37 C.F.R. § 1.97(e):

By checking this paragraph, the undersigned states that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior the filing of this Information Disclosure Statement; or

By checking this paragraph, the undersigned states that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent in a counterpart foreign application, and, the undersigned, after making reasonable inquiry, believes that no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Dated: July 27, 2004

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on date shown below.

Dated: July 27, 2004

Signature: Ute H. Wojtkowski
Printed Name: Ute H. Wojtkowski

Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

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Complete if Known	
Application Number	10/822,219
Filing Date	April 9, 2004
First Named Inventor	Atam P. Dhawan
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket Number	436/8

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AA	ROSHAN K. THOMAS ET AL., A Trusted Subject Architecture for Multilevel Secure Object-Oriented Databases, IEEE Transactions on Knowledge and Data Engineering,	
		February 1996, pp. 16-31, Vol. 8, No. 1.	
	AB	RICHARD GRAUBART, The Integrity-Lock Approach To Secure Database Management, IEEE Symposium on Security and Privacy, 1984, pp. 62-74.	
	AC	BHAVANI THURAISINGHAM ET AL., Security Constraint Processing In A Multilevel Secure Distributed Database Management System, IEEE Transactions on Knowledge And Data	
		Engineering, April 1995, pp. 274-293, Vol. 7, No. 2.	
	AD	WEN-PAI LU, ET AL., A Model For Multilevel Security In Computer Networks, IEEE Transactions on Software Engineering, June 1990, pp. 647-659., Vol 16, No. 6.	
	AE	RICHARD A. GRIFFITH ET AL., Designing & Operating A Multilevel Security Network Using Standard Commercial Products,	
		csrc.nist.gov/nissc/1996/papers/NISSL96/paper037/sctycon2.pdf.	
	AF	VIJAYALAKSHMI ATLURI ET AL., Multilevel Secure Transaction Processing: Status and Prospects, Database Security, X: Status and Prospects, Chapman & Hall (1997), pp. 1-20.	
	AG	http://www.disa.mil/, p. 1	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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